

1a

$$M_0 = (\lambda g. g(\bar{f} z))((\lambda y. \lambda z. \lambda x. \bar{z}(\lambda u. u+x)) \bar{x} (\lambda f. \bar{f} \bar{y}))$$

$$M_1 = \lambda y. \bar{x}(\lambda z. \lambda x. y(\lambda z. \bar{z} x)) \bar{z}$$

1b $\{g/f\} M_0 =$

$$(\lambda g'. g'(g z))((\lambda y. \lambda z. \lambda y. \bar{z}(\lambda u. u+x)) \bar{x} (\lambda f. \bar{f} \bar{y}))$$

α -conversion before subst.

$$\{f/g\} M_0 = M_0 \quad \text{- no change, } g \notin FV(M_0)$$

$$FV(M_0) = \{f, x, y\}$$

2.

[FV = FN = free variables]

Proof: $\forall \Gamma. \forall e. \Gamma \vdash e \text{ ok} \Leftrightarrow FV(e) \subseteq \Gamma$ $(\Rightarrow) \forall \Gamma. \forall e. \Gamma \vdash e \text{ ok} \Rightarrow FV(e) \subseteq \Gamma.$

Assume, for some Γ, e , that $\Gamma \vdash e \text{ ok}$. We prove $FV(e) \subseteq \Gamma$ by ind. on derivation of $\Gamma \vdash e \text{ ok}$.

Base Case 1: $\Gamma \vdash e \text{ ok}$ by rule S1 (the Var rule).

Then $e = x$ for some variable x , and $x \in \Gamma$.

Then $FV(e) = \{x\}$ (defn of FV), and $\{x\} \subseteq \Gamma$. $\square$

Base Case 2: $\Gamma \vdash e \text{ ok}$ by rule S2 (the Num rule),

Then $e = n$ for some $n \in \mathbb{N}$. Thus $FV(e) = FV(n) = \emptyset \subseteq \Gamma$. $\square$

Ind Case 1: $\Gamma \vdash e \text{ ok}$ by rule S3 (Plus rule).

Then $e = \text{plus}(e_1, e_2)$. By inversion of the rule, we have (1) $\Gamma \vdash e_1 \text{ ok}$ and (2) $\Gamma \vdash e_2 \text{ ok}$.

The Ind. Hypothesis is

$$(IH1) \quad \Gamma \vdash e_1 \text{ ok} \Rightarrow FV(e_1) \subseteq \Gamma \quad (\text{same } \Gamma)$$

$$\& (IH2) \quad \Gamma \vdash e_2 \text{ ok} \Rightarrow FV(e_2) \subseteq \Gamma \quad \text{'''}$$

By (1) and (IH1), we have (3) $FV(e_1) \subseteq \Gamma$ and

(4) $FV(e_2) \subseteq \Gamma$. Thus

$$FV(e) = FV(e_1) \cup FV(e_2) \subseteq \Gamma \quad \square$$

The case for the Times rule is similar. (Ind. Case 2)

Ind Case 3: $\Gamma \vdash e \text{ ok}$ by rule S5 (Let rule).

Then $e = \text{let}(e_1, x. e_2)$. By inversion of the Let rule we have

$$(1) \quad \Gamma \vdash e_1 \text{ ok}$$

$$(2) \quad \Gamma \cup \{x\} \vdash e_2 \text{ ok}$$

The ind. hyp. is

$$(IH1) \quad \Gamma \vdash e_1 \text{ ok} \Rightarrow FV(e_1) \subseteq \Gamma$$

$$\& (IH2) \quad \Gamma \cup \{x\} \vdash e_2 \text{ ok} \Rightarrow FV(e_2) \subseteq \Gamma \cup \{x\}$$

Then by (1) and (IH1), and (2) and (IH2) resp.

$$(3) \quad FV(e_1) \subseteq \Gamma$$

$$(4) \quad FV(e_2) \subseteq \Gamma \cup \{x\} \quad (\Rightarrow FV(e_2) \setminus \{x\} \subseteq \Gamma)$$

which implies

$$\begin{aligned} (5) \quad FV(e) &= FV(\text{let}(e_1, x. e_2)) \\ &= FV(e_1) \cup (FV(e_2) \setminus \{x\}) \\ &\subseteq \Gamma \end{aligned}$$

$\square$

2. ($\Leftarrow$) $\forall e. \forall \Gamma. FV(e) \subseteq \Gamma \Rightarrow \Gamma \vdash e \text{ ok}$

Assume $FV(e) \subseteq \Gamma$. Prove $\Gamma \vdash e \text{ ok}$ by ind. on structure of e .

Base Case 1: $e = x$, a variable.

Then $FV(e) = \{x\}$, so $\{x\} \subseteq \Gamma$ means $x \in \Gamma$. Thus by rule S1, $\Gamma \vdash e \text{ ok}$. $\square$

Base Case 2: $e = n$, a number. Then $\Gamma \vdash e \text{ ok}$ by rule Num.

Ind. Case 1: $e = \text{plus}(e_1, e_2)$. Then $FV(e) = FV(e_1) \cup FV(e_2)$.

So $FV(e) \subseteq \Gamma \Rightarrow$

$$(1) FV(e_1) \subseteq \Gamma$$

$$(2) FV(e_2) \subseteq \Gamma$$

The Ind. Hyp. is

$$(IH1) \quad \forall \Gamma'. FV(e_1) \subseteq \Gamma' \Rightarrow \Gamma' \vdash e_1 \text{ ok}$$

$$\& (IH2) \quad \forall \Gamma'. FV(e_2) \subseteq \Gamma' \Rightarrow \Gamma' \vdash e_2 \text{ ok}$$

Then

$$(3) \quad \Gamma \vdash e_1 \text{ ok} \quad \text{by (1) and (IH1)}$$

$$(4) \quad \Gamma \vdash e_2 \text{ ok} \quad \text{by (2) and (IH2)}$$

Then by (3) and (4) and rule Plus (S3), we have

$$\Gamma \vdash e \text{ ok}.$$

The Times case is similar. (Ind Case 2)

Ind Case 3: $e = \text{let}(e_1, x. e_2)$.

$$\text{Then } FV(e) = FV(e_1) \cup (FV(e_2) \setminus \{x\}). \quad FV(e) \subseteq \Gamma$$

implies

$$(1) FV(e_1) \subseteq \Gamma$$

$$(2) FV(e_2) \setminus \{x\} \subseteq \Gamma \quad (\Rightarrow FV(e_2) \subseteq \Gamma \cup \{x\})$$

The Ind Hyp is

$$(IH1) \quad \forall \Gamma'. \text{FV}(e_1) \subseteq \Gamma' \Rightarrow \Gamma' \vdash e_1 \text{ ok}$$

$$\& (IH2) \quad \forall \Gamma'. \text{FV}(e_2) \subseteq \Gamma' \Rightarrow \Gamma' \vdash e_2 \text{ ok}$$

Then

$$(3) \quad \Gamma \vdash e_1 \text{ ok} \quad \text{by (1) and (IH1)}$$

$$(4) \quad \Gamma \cup \{x\} \vdash e_2 \text{ ok} \quad \text{by (2) and (IH2)}$$

Then (3) and (4) and the Let rule give $\Gamma \vdash e \text{ ok}$. $\square$

3. $e \mapsto e_1$ and $e \mapsto e_2 \Rightarrow e_1 = e_2$.

Proof by induction on the derivation of $e \mapsto e_1$ (i.e. by rule induction on the rules for $\mapsto$).

Base Case 1. $e \mapsto e_1$ by E_1 , the plus instruction.

Then $e = \text{plus}(m, n)$ and $e_1 = p$ where $p = m + n$.

Then the only rule by which $e \mapsto e_2$ is E_1 , so e_2 must be p as well. Hence $e_1 = p = e_2$. $\square$

Similarly for E_2 .

Base Case 2. $e \mapsto e_1$ by E_3 , the let instruction.

Then $e = \text{let}(n, x, e')$ and $e_1 = \{n/x\}e'$.

The only rule that matches e is E_3 , so $e \mapsto e_2$ by the same rule, so $e_2 = \{n/x\}e' = e_1$. $\square$

Ind. Case 1. $e \mapsto e_1$ by E_4 , the left plus search rule.

Then $e = \text{plus}(e_3, e_4)$ and $e_1 = \text{plus}(e'_3, e_4)$

where $e_3 \mapsto e'_3$. The induction hypothesis is:

$$(IH) \quad \forall f_1, f_2. \quad e_3 \mapsto f_1 \ \& \ e_3 \mapsto f_2 \Rightarrow f_1 = f_2$$

The only rule by which $e \mapsto e_2$ can be deduced is

E_4 , so $\exists e_5. \quad e_2 = \text{plus}(e_5, e_4)$, and $e_3 \mapsto e_5$.

But by the (IH), taking $f_1 = e'_3$ and $f_2 = e_5$,

we have $e'_3 = e_5$. But then $e_2 = \text{plus}(e_5, e_4)$

$$= \text{plus}(e'_3, e_4) = e_1. \quad \square$$

All the other search rule cases follow the same pattern, with minor, and obvious changes.