P → *var P*

P → ∨ *P P*

Implementation of Computer Languages - I

Handout 3 January 29, 2009

Predictive Parsing Notes

1 Grammars for Propostional Formulae

- Which grammars denote the same context-free languages?
- Which grammars are unambiguous? which are ambiguous?
- Which grammars have immediate left recursion?
- Which grammars can be left factored?
- Which grammars are $LL(1)$?
- Which grammars are LL(2)?
- Which grammars are not LL(k) for any k?
- Which grammars denote languages that are $LL(1)$?
- Which grammars denote languages that are LL(2)?
- Which grammars denote languages that are not LL(k) for any k?

2 Recursive-Descent Parsing

The idea of recursive descent parsing is that a CFG can be mapped directly to a collection of mutuallyrecursive functions, with one function for each nonterminal and one clause for each production. For example, consider the following grammar:

```
Imperative Boolean Language
 S \rightarrow if P then S else SS \rightarrow while P do SS \rightarrow \text{var} = PS \rightarrow begin SLS \rightarrow print PL \rightarrow ; SLL \rightarrow end
P productions from Function-style prefix
```
Given a suitable definition of tokens and functions for fetching tokens from the token stream, we can write SML functions for parsing *S*, *L*, and *P*:

```
datatype token = EOP (* special end-of-parse token *)
 | KW_if | KW_then | KW_else | KW_while | KW_do
 | KW_begin | KW_end | KW_print | Var of string
 | EQ | SEMI | NOT | AND | OR | LPAREN | RPAREN | COMMA
(* returns the current token. *)fun curTok () : token = \ldots(* discards the current token, and moves to the next token *)fun advanceTok () : unit = ...
fun advanceIfTok (tok) =
  if (curTok () = tok) then advanceTok () else error ()
fun error () = raise ParseError
fun parseS () = (case curTok () of
     KW_if => (advanceTok (); (* consume KW_if token *)parseP ();
               advanceIfTok (KW_then); parseS ();
               advanceIfTok (KW_else); parseS ())
   | KW_while => (advanceTok (); (* consume KW_while token *)parseP ();
                  advanceIfTok (KW_do); parseS ())
   | Var \angle => (advanceTok (); (* consume Var token *)
               advanceIfTok (EQ); parseP ())
   | KW_begin => (advanceTok (); parseS (); parseL ())
   | KW_print => (advanceTok (); parseP ())
   | = > error ())
```

```
and parseL () = (case curTok () of
     SEMI => (advanceTok (); parseS (); parseL ())
   | KW_end => (advanceTok ())
   | \Rightarrow error ())
and parseP () = (case curTok () of
    Var = \gt (advanceTok ())
   | NOT => (advanceTok (); advanceIfTok (LPAREN);
             parseP (); advanceIfTok (RPAREN))
   | AND => (advanceTok (); advanceIfTok (LPAREN);
            parseP (); advanceIfTok (COMMA);
             parseP (); advanceIfTok (RPAREN))
   | OR => (advanceTok (); advanceIfTok (LPAREN);
            parseP (); advanceIfTok (COMMA);
            parseP (); advanceIfTok (RPAREN))
   | = > error ())
(* Parsing the whole input requires that, after parsing an S, *)
(\star the final token is the EOP token. \star (\starfun parse () = (parseS (); advanceIfTok (EOP))
```
2.1 Constructing the abstract parse tree

A realistic parser, in addition to recognizing that a string is derivable in the grammar, will construct an abstract parse tree, reflecting the relevant portions of the derivation tree.

```
and parseP () = (case curTok () of
    Var s => Prop.Var s
   | NOT => let
              val _ = advanceTok () val _ = advanceIfTok (LPAREN)
              val p = parseP () val = = advanceIfTok (RPAREN)in
              Prop.Not p
            end
   | AND => let
              val _ = advanceTok () val _ = advanceIfTok (LPAREN)
              val p = parseP () val _ advanceIfTok (COMMA)
              val q = parseP () val _ advanceIfTok (RPAREN)
            in
              Prop.And (p, q)
            end
   | OR => let
             val _ = advanceTok () val _ = advanceIfTok (LPAREN)
             val p = parseP () val _ advanceIfTok (COMMA)
             val q = parseP () val _ advanceIfTok (RPAREN)
           in
             Prop.Or (p, q)
           end
   | = > error ())
```
2.2 Limitations of Recursive-Descent Parsing

Can we apply the same technique to parse the *P* productions for *Postfix*? If we try, then we are led to write the following function for parseP:

```
and parseP () = (case curTok () of
    Var = \gt (advanceTok ())
   | ?? => (parseP () advanceIfTok (NOT))
   | ?? => (parseP (); parseP (); advanceIfTok (AND))
   | ?? => (parseP (); parseP (); advanceIfTok (OR))
   | = > error ())
```
The parseP function has no way to know which clause to use; consider parsing the strings $x \, y \wedge \neg$ and $x y \neg \land$. In the former case, the initial call to parse_P should use the $P \rightarrow P P \land$ production, but the latter case should use the $P \rightarrow P \rightarrow$

• Which grammars from Section 1 can be parsed with recursive-descent parsing?

3 Grammar Transformations

There are a few grammar transformations that can turn a grammar that cannot be parsed with recursivedescent parsing into one that is more amenable to recursive-descent parsing.

3.1 Eliminating Immediate Left-recursion

A nonterminal *A* exhibits *immediate left-recursion* if there is production of the form $A \rightarrow A \beta$. (A grammar exibits *left-recursion* if there is a nonterminal *A* such that $A \Rightarrow^+ A \beta$.

- Which of these grammars are ambiguous?
- Which of these grammars can be parsed with recursive-descent parsing?
- How do we know when to use the $P' \to \epsilon$ productions?

3.2 Left Factoring

A grammar for which there are two productions for the same nonterminal that begin with same terminal $(A \rightarrow \underline{a} \beta_1$ and $A \rightarrow \underline{a} \beta_2)$ cannot be parsed with recursive-descent parsing. We can delay the choice of production by using *left-factoring*.

Scheme-style postfix with left factoring

Scheme-style prefix with parens with left factoring

Scheme-style postfix with parens with left factoring

- Which of these grammars are "obviously" grammars for boolean expressions?
- Which of these grammars can be parsed with recursive-descent parsing?
- How do we know when to use the $P' \to \epsilon$ productions?
- For a grammar that can be parsed with recursive-descent parsing, how would you construct the abstract parse tree?

3.2.1 Constructing the Abstract Parse Tree with Higher-Order Functions

When we delay the choice of production by using left-factoring, the new nonterminal can return a function representing the chosen production.

• Suppose we did not require the parseZ and parseY functions to have types of the form unit $\rightarrow \ldots$ Is there a simpler way to construct the abstract parse tree?

3.3 Specifing Precedence and Associativity

A common source of ambiguity in grammars is the *precedence* and *associativity* of operators. We can specify precedence in a grammar by requiring lower precedence operators to contain equal-or-higher precedence operators (and prohibit higher precedence operators from containing lower precedence operators).

- How would the string $\neg x \land \neg y \lor z$ be parsed in each of these grammars?
	- $\{ \vee \} < \{ \wedge \} < \{ \neg \}$:
	- {∧} < {∨} < {¬}: (¬ x) ∧ ((¬ y) ∨ z)
	- {¬} < {∨} < {∧}: no parse

We can specify associativity in a grammar by requiring equal-or-higher precedence operators in one branch and strictly higher precedence operators in the other branch.

- How would the string $a \wedge b \wedge c \vee d \wedge e \vee f \wedge g \vee h \vee i$ be parsed in each of these grammars?
	- $\{V_L\} < \{\wedge_L\} < \{\neg\}$:
	- $\{\wedge_R\} < \{\vee_R\} < \{\neg\}$:
	- $\{V_L, \Lambda_L\}$ < $\{\neg\}$:
	- $\{ \vee_R, \wedge_R \} < \{\neg\}$
- Which of these grammars are ambiguous?
- Which of these grammars can be parsed with recursive-descent parsing?

• Are the *A'* and *O'* productions reminiscient of any other transformations we have seen?

Next week, we'll see how shift-reduce parsing admits an easy specification of precedence and associativity of operators in an LR parser specification. Nonethless, Extended BNF can be used to give a concise specification for a parser generator that supports EBNF.

• For these grammars using Extended BNF, how would you construct the abstract parse tree?

4 $LL(k)$ Parsing

Recursive-descent parsing can be generalized to automatically generated table-driven top-down parsers, known as $LL(k)$ parsing algorithms.

- L : left-to-right parse
- L : leftmost derivation
- $k : k$ -symbols lookahead

4.1 Nullable, First, and Follow

For a grammar $G = \langle N, T, S, P \rangle$ we define the following properties:

$$
A \in \mathcal{N} \qquad \text{Nullable}(A) = \begin{cases} \text{true} & \text{if } A \Rightarrow^* \epsilon \\ \text{false} & \text{otherwise} \end{cases}
$$
\n
$$
A \in \mathcal{N} \qquad \text{First}(A) = \{ \underline{a} \mid \underline{a} \in \mathcal{T} \text{ and } A \Rightarrow^* \underline{a} \beta \} \\ A \in \mathcal{N} \qquad \text{Follow}(A) = \{ \underline{a} \mid \underline{a} \in \mathcal{T} \text{ and } S \Rightarrow^* \beta A \underline{a} \gamma \} \\ \underline{a} \in \mathcal{T} \qquad \text{First}(\underline{a}) = \{ \underline{a} \} \\ \underline{a} \in \mathcal{T} \qquad \text{Nullable}(\underline{a}) = \text{true}
$$
\n
$$
\alpha \in (\mathcal{N} \cup \mathcal{T})^* \quad \text{Nullable}(\alpha) = \begin{cases} \text{true} & \text{if } \alpha \Rightarrow^* \epsilon \\ \text{false} & \text{otherwise} \end{cases}
$$

4.1.1 Computing Nullable, First, and Follow

Nullable

```
foreach \underline{a} \in \mathcal{T} do
    Nullable(\underline{a}) \leftarrow falseend
foreach A \in \mathcal{N} do
    Nullable(A) \leftarrow falseend
do
    foreach A \to X_1 \cdots X_n \in \mathcal{P} do
         if Nullable(X_1) and \cdots and Nullable(X_n) (* true if X_1 \cdots X_n = \epsilon^*)
                 then Nullable(A) \leftarrow trueend
```

```
until Nullable does not change
```
Note that we can easily extend Nullable to sequences of terminals and non-terminals:

$$
Nullable(\epsilon) = \text{true}
$$

$$
Nullable(X\alpha) = Nullable(X) \land Nullable(\alpha)
$$

First

```
foreach \underline{a} \in \mathcal{T} do
     First(\underline{a}) \leftarrow {\underline{a}}end
foreach A \in \mathcal{N} do
    First(A) \leftarrow \{\}end
do
    foreach A \to X_1 \cdots X_n \in \mathcal{P} do
         foreach i \in \{1, \ldots, n\} do
              if Nullable(X_1 \cdots X_{i-1})then First(A) ← First(A) ∪ First(X_i)end
    end
until First does not change
```
Note that we can easily extend *First* to sequences of terminals and non-terminals:

$$
First(\epsilon) = \{\}
$$

$$
First(X\alpha) = \begin{cases} First(X) & \text{if Nullable}(X) = \text{false} \\ First(X) \cup First(\alpha) & \text{if Nullable}(X) = \text{true} \end{cases}
$$

Follow

```
foreach A \in \mathcal{N} do
    Follow(A) \leftarrow \{\}end
do
    foreach A \to X_1 \cdots X_n \in \mathcal{P} do
        foreach i \in \{1, \ldots, n\} do
            if X_i \in \mathcal{N} and \textit{Nullable}(X_{i+1} \cdots X_n)then Follow(X_i) ← Follow(X_i) ∪ Follow(A)foreach j \in \{i+1,\ldots,n\} do
                 if X_i \in \mathcal{N} and \textit{Nullable}(X_{i+1} \cdots X_{j-1})then Follow(X_i) ← Follow(X_i) ∪ First(X_i)end
        end
    end
until Follow does not change
```
4.1.2 Examples

Consider *Nullable, First,* and *Follow* for *Infix with parens with* $\{V_L\} < \{\Lambda_L\} < \{\neg\}$ *without immediate left recursion*. The subscripts indicate the iteration in which the boolean was set or the symbol was added to the set.

	Nullable	First	Follow
S	false ₀	$\{var_5, \neg_5, \{5\}$	
\boldsymbol{P}	false ₀	$\{var_4, \neg_4, \P_4\}$	$\{ \bm{\}}_1, \bm{\$}_1 \}$
Ω	false ₀	$\{var_3, \neg_3, \P_3\}$	${1_2, 5_2}$
O ²	$true_1$		$\{ \, \mathbf{1}_2, \mathbf{5}_2 \}$
A	false ₀	$\{var_2, \neg_2, \{_2\}$	$\{V_1, \, \mathbf{0}_2, \mathbf{5}_2\}$
A^{\prime}	$true_1$		$\{V_1, \mathbf{)}_2, \mathbf{S}_2\}$
Z	false ₀		$\{var_1, \neg_1, \{_1\} \parallel \{\lor_1, \land_2, \} \text{, } \mathsf{S}_3\}$

Consider Nullable, First, and Follow for *Infix with parens without immediate left recursion*.

Consider Nullable, First, and Follow for *Prefix*.

Consider Nullable, First, and Follow for *Postfix*.

Consider Nullable, First, and Follow for *Scheme-style prefix*.

Consider Nullable, First, and Follow for *Scheme-style postfix*.

4.2 $LL(1)$ **Parse Tables**

4.2.1 Computing $LL(1)$ **Parse Tables**

```
foreach A \in \mathcal{N} do
      foreach \underline{a} \in \mathcal{T} do
            M[A, \underline{a}] \leftarrow \{\}end
end
foreach A \to X_1 \cdots X_n \in \mathcal{P} do
     if Nullable(X_1 \cdots X_n) then
            foreach \underline{a} \in Follow(A) do
                 M[A, \underline{a}] \leftarrow M[A, \underline{a}] \cup \{A \rightarrow X_1 \cdots X_n\}end
     foreach \underline{a}\in \mathit{First}(X_1\cdots X_n) do
           M[A, \underline{a}] \leftarrow M[A, \underline{a}] \cup \{A \rightarrow X_1 \cdots X_n\}end
end
```
If any $M[A, \underline{a}]$ has more than one production, then the grammar is not $LL(1)$.

4.3 Examples

Consider the $LL(1)$ parse table for *Infix with parens with* $\{V_L\} < \{\Lambda_L\} < \{\neg\}$ *without immediate left recursion*.

Consider the LL(1) parse table for *Infix with parens without immediate left recursion*.

	var				
	$\begin{array}{c cc}\nS & S \rightarrow P \xi & S \rightarrow P \xi \\ P & \rightarrow \text{var } P' & P \rightarrow \neg P'\n\end{array}$			$S \rightarrow P$ \$	
				$P \rightarrow (P)$	
\mathbf{p} ,		$P' \rightarrow \epsilon$ $P' \rightarrow \wedge PP'$ $P' \rightarrow \vee PP'$	$P' \rightarrow \epsilon$	$P' \rightarrow \epsilon \qquad P' \rightarrow \epsilon$	

Consider the LL(1) parse table for *Scheme-style prefix*.

4.4 LL(1) Parsing Algorithm

```
stack \leftarrow [] (* empty stack *)
push(S, stack)while not empty(state) do
    X \leftarrow pop(state)if X \in \mathcal{T} then
        if X = \text{curl} \text{c} () then advance \text{c} () else error()
   else if M[X, curTok()] = \{A \rightarrow Y_1 \cdots Y_n\} then
        push(Y_n, stack); \cdots; push(Y_1,stack)else error()
end
accept()
```
4.5 $LL(1)$ Parsing Example

Suppose we wish to parse $a \wedge b \vee c$ in the *Infix with parens with* $\{\vee_L\} < \{\wedge_L\} < \{\neg\}$ *without immediate left recursion* grammar using the $LL(1)$ parsing algorithm.

Note that the stack records what is to be parsed in the future.

4.6 $LL(k)$ for $k > 1$

To use more symbols of lookahead, we extend the definition of $First$ to $First_k$:

$$
A \in \mathcal{N} \quad First_k(A) = \{ \underline{a}_1 \cdots \underline{a}_k \mid \{ \underline{a}_1, \ldots, \underline{a}_k \} \subseteq \mathcal{T} \text{ and } A \Rightarrow^* \underline{a}_1 \cdots \underline{a}_k \beta \}
$$

$$
\cup \{ \underline{a}_1 \cdots \underline{a}_j \mid j < k \text{ and } \{ \underline{a}_1, \ldots, \underline{a}_j \} \subseteq \mathcal{T} \text{ and } A \Rightarrow^* \underline{a}_1 \cdots \underline{a}_j \}
$$

Consider $First_2$ for *Scheme-style prefix*.

First ² *S* {*var*1, **(**¬1, **(**∧1, **(**∨1} *P* {*var*1, **(**¬1, **(**∧1, **(**∨1}

Consider the LL(2) parse table for *Scheme-style prefix*.

var	$($ ~	$($ ~	$($ ~	$($ ~																																																																																																															
S	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	S	-	P	-		-	-	P	-	-	-	-	-	P	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Consider $First_2$ for *Scheme-style postfix with parens*.

First ² *S* {*var*1, **((**1} *P* {*var*1, **((**1}

Consider the LL(2) parse table for *Scheme-style postfix with parens*.

var	(otherwise
S	$S \rightarrow P \, \xi$	$S \rightarrow P \, \xi$
$P \rightarrow (P \rightarrow)$		
$P \rightarrow (P P \land)$		
$P \rightarrow \gamma$	$P \rightarrow (P P \land)$	
$P \rightarrow (P P \lor)$		
$P \rightarrow (P)$		