Introduction to Data Privacy CMSC 23200/33250, Winter 2021, Lecture 21

David Cash and Blase Ur

University of Chicago

Outline

1. Problem setting

- 2. Basic approaches to data privacy, and how to they fail
- 3. More advanced approaches, and how they also fail
- 4. A very interesting idea: Randomized Response

Privacy?

$\bullet \bullet \bullet$ \bullet Basic Privacy Settings $\leftarrow \rightarrow$ \bullet \bullet facebook.e	& Tools × + com/help/32580793750624	42			☆ ©	⊧ ⊜ :		
Help Center	Using Facebook	ng Facebook Managing Your Account Privacy and Safety Policies and Reporting Q						
Using Facebook								
② Creating an Account		Basic Priva	acy Settings & Too	ols				
O+ Friending		Selecting an	Audience for Stuff Y	ou Share				
分 Your Home Page		When I post so	mething on Facebook, ho	ow do I choose who can se	e it?			
Q Messaging		How can I use lists to share to a specific group of people?						
D Stories		How do I change the audience of a post I've shared on my Facebook timeline?						
O Your Photos and Videos		How do I contr	ol who can see what's on	my Facebook profile and t	timeline?			
Videos on Watch		How do I choos	se who can see previous	posts on my timeline on Fa	cebook?			
₽ages		Manage Set	tings for How You Co	nnect				
📽 Groups		inaliage eet						
Events		How can I adju	st my Facebook privacy s	settings?				
Fundraisers and Donations		What is Facebo	ook's Privacy Shortcuts a	nd how do I find it?				
Payments		What's Privacy Checkup and how can I find it on Facebook?						
📅 Marketplace		How do I change who can add me as a friend on Facebook?						
😚 Apps		Who can see n	ny Facebook profile pictu	re and cover photo?				
🛱 Facebook Mobile Apps		Reviewing S	tuff Others Tag You II	n				

Data Privacy

CB How the C	ensus Bureau Protec × +				
← → C ☆ ▲	2020census.gov/en/data-protection.html	Ø	☆	👼 Incognito	:
Census 2020	Partners Educators News Help	Q) English $$	1
	Get the Facts Why Your Answers Matter Privacy and Security				
	How the Census Bureau Protects Your Data				
	The U.S. Census Bureau is bound by law to protect your answers and keep them strictly confidential. In fact, every employee takes an oath to protect your personal information for life.				
	SHARE: f J in		Is thi	is page helpful? YES 🗘 NO	×

Privacy vs Security

• *Privacy* is about individuals controlling how their personal data are collected, used, and published.

[Personal data is] any information relating to an identified or identifiable natural person.

- General Data Protection Regulation of the European Union

 Security is part of it. Confidentiality, authentication, authorization, and availability are ingredients.

Modern Data Privacy: Problem Setting in this Lecture





Examples

- Governments
- Medical research
- Financial/insurance companies
- Tech companies
- Universities
- More?

Basic Data Privacy Mechanisms

- Simply enforce rules regulating data sharing and collection
- De-identification: Remove names, unique id numbers, addresses, etc
 - Health Insurance Portability and Accountability Act of 1996 (HIPAA)
 - Family Educational Rights and Privacy Act of 1974 (FERPA)
- Segmentation: Chop tables up vertically before publishing

name	age	zip	income
Fatma	33	60637	25k
Hong	14	60638	35k
Roger	21	60637	60k

Notable Privacy Failure #1: Mass. Grp Insurance (90s)

- Group Insurance Commission published info researchers (left circle)
- Sweeney purchased voter registration info from local government (right circle)
- "87% of the U.S. Population are uniquely identified by {date of birth, gender, ZIP}."



Figure 1 Linking to re-identify data

Source: L. Sweeney. k-anonymity: a model for protecting privacy. International Journal on Uncertainty, Fuzziness and Knowledge-based Systems, 10 (5), 2002; 557-570.



Latanya Sweeney Source: Wikipedia

Notable Privacy Failure #2: AOL (2006)

×

Comment

- AOL publishes 20M search queries from 650k users.
- Names deleted, but query histories still associated with individuals

AOL Proudly Releases Massive Amounts of Private Data

Michael Arrington

@arrington?lang=en / 8:17 PM CDT • August 6, 2006

Yet Another Update: AOL: "This was a screw up"

- IN SOLIDARITY WITH THE MANY AOLUSERS W EMBARASSING WEB SEARCHES WERE RELEAS PUBLIC, I OFFER A SAMPLE OF MY OWN SE	WHOSE OFTEN ED TO THE ARCH HISTORY:
Web <u>Images Video News</u> Maps <u>more »</u>	Advanced Search
velociraptors site:imdb.com "jurassic park" raptors dromaeosaurids utahraptor "home depot" deadbolts security home improvement surviving a raptor attack robert bakker paleontologist robert bakker "possible raptor sympathizer" site:en.wikipedia.org surviving a raptor attack learning from mistakes in jurassic park big-game rifles tire irons treating raptor wounds do raptors fear fire how to make a molotov cocktail do raptors fear death can raptors pick locks how to tell if my neighbors are raptors	Preferences Language Tools

Notable Privacy Failure #2: AOL (2006)

A Face Is Exposed for AOL Searcher No. 4417749
f 🖸 🖌 🛤 🍝 🗌
By Michael Barbaro and Tom Zeller Jr.
Aug. 9, 2006
Buried in a list of 20 million Web search queries collected by AOL and recently released on the Internet is user No. 4417749. The
number was assigned by the company to protect the searcher's
anonymity, but it was not much of a shield.

• Several individuals were identified. How?

Notable Privacy Failure #2: AOL (2006)

<u>User No. 4417749</u>

```
landscapers in Lilburn, Ga
John Arnold
numb fingers
Jenny Arnold
school supplies for Iraq children
60 single men
hand tremors
nicotine effects on the body
dog that urinates on everything
tea for good health
the best season to visit Italy
bipolar
safest place to live
...
```

Notable Privacy Failure #3: Netflix Prize (2006-2009)

name	Star Wars	Casablanca	Jurassic Park	<other movie></other
Fatma	***	∱ X	4	
Hong	ŔŔ	****	***	4
Roger	፟ኇ፞ኇ፞ኇ፞ኇ	×	**	Â

- Cross-reference with IMDB?
- Arvind+Vitaly: Knowing 8 ratings (w/dates) identifies 90% of users
- People rated movies on Netflix that they did not rate on IMDB.

Robust De-anonymization of Large Sparse Datasets

Arvind Narayanan and Vitaly Shmatikov The University of Texas at Austin

Source: Wikipedia

RYAN SINGEL SECURITY 03.12.2010 02:48 PM

NetFlix Cancels Recommendation Contest After Privacy Lawsuit

Netflix is canceling its second \$1 million Netflix Prize to settle a legal challenge that it breached customer privacy as part of the first contest's race for a better movie-recommendation engine. Friday's announcement came five months after Netflix had announced a successor to its algorithm-improvement contest. The company at the time said it intended to [...]

Notable Privacy Failure #4: NYC Taxi Data (2014)

- NYC releases "anonymized" records of 173M taxi trips to researcher in response to Freedom of Information Act request
- Included start end location and time



By cross-referencing de-anonymized trip data with paparazzi photos, a privacy research could tell how much Bradley Cooper paid his driver.

Notable Privacy Failure #4: NYC Taxi Data (2014)



Source: https://gawker.com/the-public-nyc-taxicab-database-that-accidentally-track-1646724546

• Also: Dataset had taxi ID replaced with md5(taxiID)...

c.f. https://tech.vijayp.ca/of-taxis-and-rainbows-f6bc289679a1

Privacy Failures: Why is this so hard?

- Hard to know what information might be harmful
- Hard to know what side information is available for linking
- Hard to know what adversarial analysis is capable of



Latanya Sweeney Source: Wikipedia

• Sweeney: Take a principled approach!

Towards Modern Protection: k-Anonymity

Definition: A table is <u>*k*-anonymous with respect to columns C_1, \ldots, C_n </u> if whenever a value (v₁, ..., v_n) appears for those columns in *some* row, it appears in at least k rows.

	Race	Birth	Gender	ZIP	Problem
t1	Black	1965	m	0214*	short breath
t2	Black	1965	m	0214*	chest pain
t3	Black	1965	f	0213*	hypertension
t4	Black	1965	f	0213*	hypertension
t5	Black	1964	f	0213*	obesity
t6	Black	1964	f	0213*	chest pain
t7	White	1964	m	0213*	chest pain
t8	White	1964	m	0213*	obesity
t9	White	1964	m	0213*	short breath
t10	White	1967	m	0213*	chest pain
:11	White	1967	m	0213*	chest pain

Figure 2 Example of *k*-anonymity, where *k*=2 and **Ql**={*Race*, *Birth*, *Gender*, *ZIP*}

Adapted from: L. Sweeney. k-anonymity: a model for protecting privacy. International Journal on Uncertainty, Fuzziness and Knowledge-based Systems, 10 (5), 2002; 557-570.

Processing Data/Queries for k-Anonymity

• Aggregate numerical columns. Generalize or redact others.

	N	on-Sei	nsitive	Sensitive
	Zip Code	Age	Nationality	Condition
1	13053	28	Russian	Heart Disease
2	13068	29	American	Heart Disease
3	13068	21	Japanese	Viral Infection
4	13053	23	American	Viral Infection
5	14853	50	Indian	Cancer
6	14853	55	Russian	Heart Disease
7	14850	47	American	Viral Infection
8	14850	49	American	Viral Infection
9	13053	31	American	Cancer
10	13053	37	Indian	Cancer
11	13068	36	Japanese	Cancer
12	13068	35	American	Cancer

Zip Code Nationality Condition Age 130** < 30 Heart Disease 1 * 130^{**} $\mathbf{2}$ < 30Heart Disease * 130^{**} 3 < 30Viral Infection * 130^{**} < 30 Viral Infection 4 * $\mathbf{5}$ 1485^{*} ≥ 40 Cancer * ≥ 40 1485^{*} Heart Disease 6 * 1485^{*} ≥ 40 Viral Infection 7 * 8 1485^{*} ≥ 40 Viral Infection * 130** 9 3*Cancer * 130** 10 3*Cancer * 130** 11 Cancer 3** 12 130^{**} 3*Cancer *

Sensitive

Non-Sensitive

Fig. 1. Inpatient Microdata

• NP-Hard to do "optimally"

Fig. 2. 4-Anonymous Inpatient Microdata

Source: A. Machanavajjhala et al. I-Diversity: Privacy Beyond k-Anonymity. TKDD 2007.

Problems with k-Anonymity: Homogeneity Attack

• If I know your Zip Code is 13053 and that you are in your 30s....

	1	Non-Sen	Sensitive	
	Zip Code	Age	Nationality	Condition
1	130**	< 30	*	Heart Disease
2	130**	< 30	*	Heart Disease
3	130**	< 30	*	Viral Infection
4	130**	< 30	*	Viral Infection
5	1485*	≥ 40	*	Cancer
6	1485*	≥ 40	*	Heart Disease
7	1485*	≥ 40	*	Viral Infection
8	1485*	≥ 40	*	Viral Infection
9	130**	3*	*	Cancer
10	130**	3*	*	Cancer
11	130**	3*	*	Cancer
12	130**	3*	*	Cancer

Fig. 2. 4-Anonymous Inpatient Microdata

Problems with k-Anonymity: Background Knowledge

• If I know your Zip Code is 13068, that you're 21 years old, and that you seem pretty healthy generally...

	1	Non-Sen	Sensitive	
	Zip Code	Age	Nationality	Condition
1	130**	< 30	*	Heart Disease
2	130**	< 30	*	Heart Disease
3	130**	< 30	*	Viral Infection
4	130**	< 30	*	Viral Infection
5	1485*	≥ 40	*	Cancer
6	1485*	≥ 40	*	Heart Disease
7	1485*	≥ 40	*	Viral Infection
8	1485*	≥ 40	*	Viral Infection
9	130**	3*	*	Cancer
10	130**	3*	*	Cancer
11	130**	3*	*	Cancer
12	130**	3*	*	Cancer

Fig. 2. 4-Anonymous Inpatient Microdata

Another attempt: L-Diversity

• Ensure that sensitive columns are "well represented" to defeat both attacks (details omitted)

	l N	Non-Sens	Sensitive	
	Zip Code	Age	Nationality	Condition
1	1305*	≤ 40	*	Heart Disease
4	1305*	≤ 40	*	Viral Infection
9	1305*	≤ 40	*	Cancer
10	1305*	≤ 40	*	Cancer
5	1485*	> 40	*	Cancer
6	1485*	> 40	*	Heart Disease
7	1485*	> 40	*	Viral Infection
8	1485*	> 40	*	Viral Infection
2	1306*	≤ 40	*	Heart Disease
3	1306*	≤ 40	*	Viral Infection
11	1306*	≤ 40	*	Cancer
12	1306*	≤ 40	*	Cancer

Fig. 4. **3-Diverse** Inpatient Microdata

Attacking L-Diversity

• Correlations still lead to violations even with diversity

	ZIP Code	Age	Salary	Disease
1	47677	29	3K	gastric ulcer
2	47602	22	4K	gastritis
3	47678	27	5K	stomach cancer
4	47905	43	6K	gastritis
5	47909	52	11K	flu
6	47906	47	8K	bronchitis
7	47605	30	7K	bronchitis
8	47673	36	9K	pneumonia
9	47607	32	10K	stomach cancer

	ZIP Code	Age	Salary	Disease
1	476**	2*	3K	gastric ulcer
2	476**	2*	4K	gastritis
3	476**	2*	5K	stomach cancer
4	4790*	≥ 40	6K	gastritis
5	4790*	≥ 40	11K	flu
6	4790*	≥ 40	8K	bronchitis
7	476**	3*	7K	bronchitis
8	476**	3*	9K	pneumonia
9	476**	3*	10K	stomach cancer

Table 3. Original Salary/Disease Table

 Table 4. A 3-diverse version of Table 3

• Another patch suggested: t-Closeness, but conclusion is unclear

Back to the 1960's (and then to the '00s next lecture)

- Want to survey a population about engaging in an embarrassing or illegal behavior X (e.g. X=drug use, X=cheating, ...)
- Not interested in individuals. Only want to know fraction of the population.
- Discussion: what's wrong with just interviewing people and asking

"Did you engage in X in the last month?"

Profound Idea: Randomized Response





Randomized Response: Example

- Suppose population is 1000.
- 200 engage in behavior and 800 do not.
- Expect to get 350 "yes" answers:





Analyzing Randomized Response Data

<u>Claim</u>: If p-fraction of population engages in behavior ($0 \le p \le 1$), then expected proportion that say "Yes" is

$$y = 0.25(1 - p) + p(0.50 + 0.25)$$

• Measure y, then solve: p = 2(y - 0.25)

Randomized Response and Plausible Deniability

- High school students surveyed on drug use.
- Higher reported use on all drugs except hallucinogens (?)

Drug category	Com ''6 + 1	bined item''	Randomized response procedure	
	μ	SE	μ	SE
All subjects				
Alcohol	10.63	3.697	18.79	13.019
Cannabis	3.68	0.779	3.04	1.329
Hallucinogens	0.35	0.174	0.26	0.134
Amphetamines ("speed")	0.11	0.048	0.43	0.200
Tranquilizers	0.26	0.097	0.81	0.232
Heroin	0.06	0.031	0.33	0.145
Excluding responses in excess of 100 ^a				
Alcohol	5.19	0.420	10.98	3.393
Cannabis	3.01	0.618	3.51	1.244

this transformation.

The End